



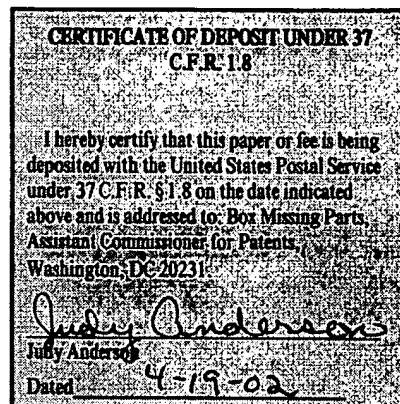
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Croft III, et al.  
SERIAL NO.: 10/055,821  
FILED: Jan. 22, 2002  
FOR: SINGLE-ENDED PLANAR-  
MAGNETIC SPEAKER  
ART UNIT: 2644  
CONFIRMATION NO: 9519  
DOCKET NO.: T9573.NP



RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
PATENT APPLICATION Filing Date Granted

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed February 19, 2002, please find enclosed herewith the following items for filing in the United States Patent and Trademark Office in connection with the above-identified patent application:

- X An Assignment from the inventors to American Technology Corporation.
- X A Verified Statement Claiming Small Entity Status signed by an officer of American Technology Corporation;
- X A copy of the Notice to File Missing Parts of Application Filing Date Granted;
- X Inventor Declaration and Petition;
- X Power of Attorney signed by an officer of American Technology Corporation;

Assistant Commissioner for Patents  
Page 2

X Check in the amount of \$1,498.00 cover the filing fee for the above-identified application, the recordation fee for the enclosed patent assignment, and the surcharge fee pursuant to 37 C.F.R. § 1.16(e). These fees have been calculated as follows:

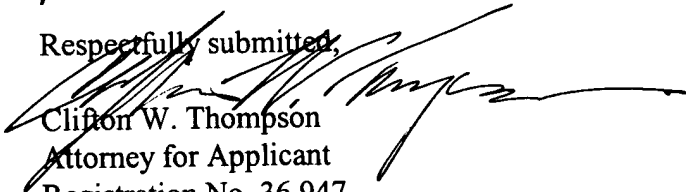
			SMALL ENTITY		OTHER THAN A SMALL ENTITY	
FOR	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$ 370		\$740
TOT. CLAIMS	-20 = 87	67	x 9 =	\$ 603	x 18 =	\$
IND. CLAIMS	-03 = 13	10	x 42 =	\$ 420	x 84 =	\$
MULTIPLE DEPENDENT CLAIMS PRESENTED			\$280		\$280	
ASSIGNMENT FILING FEE			\$ 40	40	\$ 40	
SURCHARGE FEE				\$ 65		
			<b>TOTAL</b>	<b>\$1,498.00</b>	<b>TOTAL</b>	

The Commissioner is hereby authorized to charge any additional fees associated with this communication or to credit any overpayment to Deposit Account No. 20-0100.

Please address all future correspondence in connection with this case to the attention of the undersigned.

DATED this 19<sup>th</sup> day of April, 2002.

Respectfully submitted,

  
Clifton W. Thompson  
Attorney for Applicant  
Registration No. 36,947  
THORPE, NORTH & WESTERN, L.L.P.  
P.O. Box 1219  
Sandy, Utah 84091-1219  
Telephone (801) 566-6633

CWT:ja  
Enclosures

COPY OF PAPERS  
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UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/055,821	01/22/2002	James J. Croft III	T9573.NP

CONFIRMATION NO. 9519

## FORMALITIES LETTER



\*OC000000007493950\*

 Clifton W. Thompson  
 THORPE, NORTH & WESTERN, L.L.P.  
 P.O. Box 1219  
 Sandy, UT 84091-1219

Date Mailed: 02/19/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$2046.
  - \$1206 for 67 total claims over 20.
  - \$840 for 10 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2916.

05/02/2002 SDENB081 00000057 10055821

01 FC:201	370.00 OP
02 FC:203	603.00 OP
03 FC:202	420.00 OP
04 FC:205	65.00 OP

*A copy of this notice **MUST** be returned with the reply.*M-WAILE

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE